

08/17/01
1051 U.S. PTO

1040 U.S. PTO
08/17/01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

provisional applications under 37 CFR 1.53(b))

Attorney Docket No.

9575-001-23

First Inventor or Application Identifier

Robert J. BURNS, et al.

Title

METHOD FOR STRUCTURING, PRICING AND SETTLING A CURRENT
MORTGAGE PRICE INDICATOR CONTRACT

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
☐ Applicant claims small entity status.
2. ☒ Specification Total Pages **35**
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **10**
4. ☐ Oath or Declaration Total Pages
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 16 completed)
5. ☐ Incorporation By Reference (usable if box 4B is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING DOCUMENTS

6. ☐ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
13. ☐ Request for Priority
14. ☒ List of Inventors' Names and Addresses
15. ☒ Other: Nonpublication Request and Certification

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

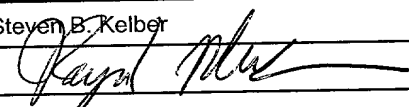
17. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on

☒ This application claims priority of provisional application Serial No. 60/226,589 Filed August 21, 2000.

18. CORRESPONDENCE ADDRESS

Supervisor, Patent Prosecution Services
PIPER MARBURY RUDNICK & WOLFE LLP
1200 Nineteenth Street, N.W.
Washington, D.C. 20036-2412
Telephone No. (202) 861-3900
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Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	8/17/2001
Name	Raymond Millien	Registration No.	43,806

08/17/01 08/17/01

FEE TRANSMITTAL

Docket No. 9575-001-23
 Serial No. New Application
 Filing Date Herewith
 Inventor(s) Robert J. BURNS et al.
 Group Art Unit

TOTAL AMOUNT OF PAYMENT \$920.00

Examiner

1. ☐ Applicant claims small entity status.
- ☐ Charge any **UNDERPAYMENT** or credit any **OVERPAYMENT** in the indicated fees to Deposit Account No. 50-1442.
- ☐ Charge the indicated fees to Deposit Account No. 50-1442.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity Fee Description

2. ☒ Check enclosed.

FEE CALCULATION

1. BASIC FILING FEE

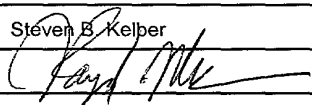
Large Entity		Small Entity		Fee Description		139	130	139	130	Non-English specification	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid	147	2520	147	2520	Ex parte reexam. fee	
101	710	201	355	Utility filing fee	710.00	115	110	215	55	1-mo. ext. of time	
106	320	206	160	Design filing fee		116	390	216	195	2-mo. ext. of time	
107	490	207	245	Plant filing fee		117	890	217	445	3-mo. ext. of time	
108	710	208	355	Reissue filing fee		118	1390	218	695	4-mo. ext. of time	
114	150	214	75	Provisional filing fee		128	1890	228	945	5-mo. ext. of time	
SUBTOTAL (1)					\$710.00	119	310	219	155	Notice of Appeal	

2. EXTRA CLAIM FEES

tot. claims	14	-	20*	=	0	x	\$18	=	0	121	270	221	135	Request for Oral Hearing	
ind. claims	4	-	3*	=	1	x	\$80	=	80	142	1240	242	620	Utility/Reissue Issue Fee	
<input type="checkbox"/>	Multiple Dependent Claims				\$270	=				143	440	243	220	Design Issue Fee	
Large Entity	Small Entity	Fee Description		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Code	Fee (\$)
103	18	203	9	Claims in excess of 20		126	180	126	180	IDS Submission					
102	80	202	40	Independent claims in excess of 3		581	40	581	40	Assignment					
104	270	204	135	Multiple dependent claim, if not paid		179	710	279	355	For Filing RCE					
109	80	209	40	*Reissue independent claims over original patent		169	900	169	900	Expedited Design					
110	18	210	9	*Reissue claims in excess of 20 and over original patent		OTHER (indicate below).									
SUBTOTAL (2)				\$80.00											

* or number previously paid, if greater, For Reissues, see above

SUBTOTAL (3) \$130.00

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	8/17/2001
Name	Raymond Millien	Registration No.	43,806
		Telephone	202-861-3900

DOCKET NO. 9575-001-23

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Robert J. BURNS *et al.*

ART UNIT:

SERIAL NO.: New Application

EXAMINER:

FILING DATE: Herewith

FOR: METHOD FOR STRUCTURING, PRICING AND SETTLING A CURRENT
MORTGAGE PRICE INDICATOR CONTRACT

**NONPUBLICATION REQUEST
AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)**

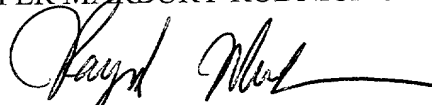
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

It is hereby certified that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at 18 months after filing. It is hereby requested that the attached application not be published under 35 U.S.C. 122(b). Applicant reserves the right to rescind this request pursuant to 35 U.S.C. 122(b)(2)(B)(ii).

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP



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8/17/2001
Date

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LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

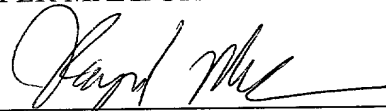
Listed below are the names and addresses of the inventors for the above-identified patent application.

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2. Mustafa Chowdhury, 9630 Cinnamon Creek Drive, Vienna VA 22182;
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A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP



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